

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Daniel R. Twardzik et al.
Application No./Patent No.: 10/050,190 Filed/Issue Date: January 15, 2002
Entitled: TGF- α POLYPEPTIDES, FUNCTIONAL FRAGMENTS AND METHODS OF USE
THEREFOR

Applied Protein Sciences, LLC

(Name of Assignee)

a

limited liability company

(Type of Assignee, e.g., corporation, partnership,
university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above.
The assignment was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or a true copy of the original assignment is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the
current assignee as follows:

1. From: Daniel R. Twardzik, Andre Pernet, To: Stem Cell Pharmaceuticals, Inc.
Thomas S. Felker and Stefan Paskell

The document was recorded in the United States Patent and Trademark Office at
Reel 019149, Frame 0247, or for which a copy thereof is attached.

2. From: Stem Cell Pharmaceuticals, Inc. To: Kaleidos Pharma, Inc.

The document was recorded in the United States Patent and Trademark Office at
Reel 016376, Frame 0425, or for which a copy thereof is attached.

3. From: Edmund J. Wood, the Chapter 7 To: Applied Protein Sciences, LLC
Bankruptcy Trustee for Kaleidos Pharma,
Inc.

The document was recorded in the United States Patent and Trademark Office at
Reel 019149, Frame 0448, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the
original owner to the assignee was, or concurrently is being, submitted for recordation
pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment
Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP
302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Rakesh Ramde
Signature

July 12, 2007
Date

Rakesh Ramde
Typed or printed name

Member
Title